01-19-05



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Rec. No.: <u>EV478581975US</u> On: <u>January 18, 2005</u>

Brendy Lynn Belony

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor

:Toshikazu KOBAYASHI

Filed

:October 25, 2001

For

:METHOD AND APPARATUS....

Serial Number

:10/001,953

January 18, 2005

Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

#### SUPPLEMENTAL PTO FORM 1449

SIR:

In order to comply with discretionary rules 37 CFR §§1.97 and 1.98, attached hereto is a copy of a Supplemental PTO-1449 Form. This document supplements a PTO-1449 form filed with an Information Disclosure Statement mailed February 5, 2002, wherein the Applicant and date of Publication for Japanese Reference 61-198432 was incorrectly listed as Toshiba Corp 03/08/1988. The correct applicant should be listed as Olympus Optical Co Ltd. and the correct date is 09-02-1986 as indicated on the Supplemental PTO-1449.

Any fee due with this paper may be charged on Deposit Account 50-1290.

Respectfully submitted,

Thomas J. Bean Reg. No. 44,528

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DOCKET NO.: SCET 19.104 (100809-00051)



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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **INFORMATION DISCLOSURE STATEMENT**

SIR:

In order to comply with discretionary regulations 37 CFR 1.97 and 1.98, attached hereto is a copy of Form PTO-1449 and copies of the non-US documents (if any) listed thereon. These documents contain information which the Examiner may consider to be important in deciding whether to issue a patent in the instant application.

Attached is a copy of a Chinese Office Action dated November 5, 2004, with translation from the corresponding Chinese Patent Application. This report references two documents, both of which were previously submitted in an IDS mailed on February 5, 2002.

The present Information Disclosure Statement is being submitted in compliance with 37

§CFR 1.56 as an Examiner might consider any cited document important in deciding whether to

allow the application to issue as a patent, but the citation of each document is not to be construed

as an admission that such document is necessarily relevant or prior art. No representation is

intended that the cited documents represent the results of a complete search, and it is anticipated

that the Examiner in the normal course of examination, will make an independent search and will

determine the best prior art consistent with 37 CFR 1.104 (a), and in the course of such search

will review for relevance every document cited on the attached form even if not initialed.

Each item of information contained in this information disclosure statement was first

cited in a communication from a foreign patent office in a counterpart foreign application, not

more than three months prior to the filing of the information disclosure statement, thus no fee is

due.

Any fee due with this statement may be charged to Deposit Account 50-1290.

Early and favorable consideration is respectfully solicited.

Respectfully submitted,

Thomas J. Bean

Reg. No. 44,528

Customer Number: 026304

Docket No.: SCET 19.104

2

Patent and Trademark Office U.S. DEPARTMENT OF COMMERCE Please type a plus sign (+) rtment of Commerce Application No. : 10/001,953 1449/PTO nt and Trademark Office Filing Date : October 25, 2001 INFORMATION DISCLOSURE First Named Inventor: T. KOBAYASHI Group Art Unit STATEMENT BY APPLICANT **Examiner Name** Sheet 1 of 1 Attorney Docket No.: SCET 19.104 **U.S. PATENT DOCUMENTS** Pages, Columns Examiner Cite No.1 U.S. Patent Document Kind Code Name of Patentee or Applicant Date of Publication of Lines Where if known<sup>2</sup> of Cited Document Initials Cited Relevant Passages or Document MM-DD-Relevant Figures YYYY Appear FOREIGN DOCUMENTS Pages, Columns Cite No.1 Foreign Patent Country Name of Patentee or Applicant Date of Examiner Lines Where Publication of Initials Document of Cited Document Office<sup>3</sup> Number<sup>4</sup> Cited Relevant Passages or Document Kind Code<sup>5</sup> (if known) MM-DD-YY Relevant Figures Appear Other Prior Art-Non Patent Literature Documents Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of Applicant check Examiner Cite the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue her if English Initials No. language number(s), publisher, country, where published, source. translation

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Date

Considered

Chinese Office Action dated November 5, 2004

attached

<sup>1</sup>Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that Issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1<sup>6</sup> if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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Examiner

Signature

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1449/PTO U.S. Decement of Commerce and Trademark Office

# **SUPPLEMENTAL PTO FORM 1449**

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

J.S. DEFARTMEN	I OF COMMERCE
Application No.	:10/001,953
Filing Date	: October 25, 2001
First Named Inven	tor: T. KOBAYASHI
Group Art Unit	:
Examiner Name	:
Attorney Docket N	lo.: SCET 19.104

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Sheet 1	of 1					
		U.S	S. PATENT	DOCUMENTS		
Examiner Initials	Cite No.	U.S. Patent Document	Kind Code if known <sup>2</sup>	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD- YYYY	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		6,011,762		WATANABE ET AL.	01-04-2000	
	<u></u>					<u> </u>
				<u>OOCUMENTS</u>		
Examiner Initials	Cite No.1	Foreign Patent Document Office <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Country	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YY/Y	Pages, Columns Lines Where Relevant Passages or Relevant Figures Appear
		9-326123	JP	Matsushita Electric Ind. Co Ltd.	12-16-1997	
		61-198432	JP	OLYMPUS OPTICAL CO LTD	09-02-1986	
. 2.2						
				•	<del>                                     </del>	

#### Other Prior Art-Non Patent Literature Documents

Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), data, page(s), volume-issue number(s), publisher, country, where published, source.		Applicant check her if English language translation attached	
		RIVER STONE NETWORKS INC.; http://www.rivers Transparent LAN services. Copyright 2001			
Examiner Signature	1		Date Considered		

Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw a line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Unique citation designation number. See attached Kinds of U.S. Patent Documents. Jet not Office that Issued the document by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.1 if possible. A Applicant is to place a check mark here if English language Translation is attached Burden Hour Statement: This form is estimated to take 2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form to the Chief Information Officer. Patent and Trademark Office. Washington, DC 20231. Under Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.